

# The Chebyshev Theory of a Variation of $L_p$ ( $1 < p < \infty$ ) Approximation

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In this paper, we study a variation of best  $L_p$  approximation obtained by using a new "norm." We consider the questions of existence and uniqueness and also prove analogues of the essentials of the classical theory of best uniform approximation: characterization (Theorem 4), de La Vallée Poussin's bound (Theorem 5), and strong uniqueness (Theorem 7). © 1990 Academic Press, Inc.

## 1. INTRODUCTION

After Pinkus and Shisha [1] proposed a new method of approximation using  $L_p$ -type "norms" (gauges), Y.-G. Shi [2] introduced another method of approximation in the case  $p = 1$ . This method maintains many essentials of the classical theory of best uniform approximation and has a distinct advantage over the corresponding one for  $L_1$  best approximation, in that the unique best approximation is characterized by a remarkable geometric property. In this paper, we propose another  $L_p$ -type measure  $\|\cdot\|^*$  ( $1 < p < \infty$ ) in terms of the technique of [2] in order to study the same questions of existence, uniqueness and characterization. In the special case  $p = 1$ , the measure  $\|\cdot\|^*$  is the norm  $\|\cdot\|$  defined in [2].

## 2. PRELIMINARIES

Let  $C[a, b]$  be the class of real-valued functions continuous on  $[a, b]$ . For  $f \in C[a, b]$  and  $1 < p < \infty$ , we define

$$\|f\|^* = \sup \left\{ \int_c^d |f|^{p-1} dx \mid a \leq c \leq d \leq b \right\}. \quad (1)$$

Let  $G$  be an  $n$ -dimensional subspace of  $C[a, b]$ . We consider the following problem: For a given  $f \in C[a, b]$ , find a  $u \in G$  such that

$$\|f - u\|^* = \inf_{v \in G} \|f - v\|^*.$$

Such a polynomial  $u$  (if any) is said to be a best approximation to  $f$  from  $G$ .

Now we introduce the basic notations and definitions. Denote  $X := \{I = (c, d) : I \subset [a, b]\}$ . We adopt the convention that  $X$  contains the unique "zero" element  $0 = (c, c)$ . If  $I = (c, d) \in X \setminus \{0\}$ , we write  $I^- = c$  and  $I^+ = d$ . In the following, we always assume that  $f \in C[a, b]$ . For ease of notation we set  $f(I) := \int_I f |f|^{p-1} dx$ ,  $X_f := \{I \in X : |f(I)| = \|f\|^*\}$ , and  $S_f(I) := \text{sgn } f(I)$ . With these notations (1) can be rewritten as

$$\|f\|^* = \sup_{I \in X} |f(I)|.$$

LEMMA 1. (a)  $X$  is a compact set,  $f(I)$  is a continuous function of  $I$ .

(b)  $\|f\|^* = \max_{I \in X} |f(I)|$ .

(c)  $\|f\|^* \leq \|f\|_p^p \leq (b-a) \|f\|_p^p$ .

The proof is easy and is omitted.

Let  $f, f_m \in C[a, b]$ ,  $m = 1, 2, \dots$ , and let  $f_m$  converge to  $f$ , uniformly on  $[a, b]$ . From (c) of Lemma 1 it easily follows that

$$\lim_{m \rightarrow \infty} \|f_m - f\|^* = 0. \tag{2}$$

LEMMA 2. If  $f \in C[a, b]$ , and  $C$  is a real number, then

(a)  $\|f\|^* = 0$  if and only if  $f(x) = 0$  for all  $x \in [a, b]$ ,

(b)  $\|Cf\|^* = |C|^p \|f\|^*$ ,

(c)  $\|\cdot\|^*$  does not satisfy the triangle inequality.

*Proof.* (a) and (b) are clear from the definition of  $\|\cdot\|^*$ .

(c) Let  $f > 0, g > 0$ . By the definition  $\|f\|^* = \int_a^b f^p dx$ ,  $\|g\|^* = \int_a^b g^p dx$  and  $\|f + g\|^* = \int_a^b (f + g)^p dx$ . Since  $f^p + g^p < (f + g)^p$ , this yields  $\|f\|^* + \|g\|^* < \|f + g\|^*$ .

LEMMA 3. If  $I \in X_f$  and  $t > 0$  is sufficiently small, then

(a)  $I^-, I^+ \in Z(f) \cup \{a, b\}$ , where  $Z(f) = \{x \in [a, b] : f(x) = 0\}$ ,

(b)  $f(I^- + t)f(I^+ - t) \leq 0, f(I^+ - t)f(I^- + t) \leq 0$ ,

(c)  $S_f(I^- + t) \geq 0, S_f(I^+ - t) \geq 0$ .

For the proof of (a) see [2, Lemma 1], and the rest is similar, too.

LEMMA 4. Assume that  $f, f_m \in C[a, b]$ ,  $m = 1, 2, \dots$  and  $f_m$  tends to  $f$ , uniformly on  $[a, b]$ . Then

$$\|f\|^* = \lim_{m \rightarrow \infty} \|f_m\|^*. \quad (3)$$

*Proof.* At first, according to the Lebesgue Dominated Convergence Theorem, it follows that

$$\lim_{m \rightarrow \infty} f_m(I) = f(I), \quad \forall I \in X. \quad (4)$$

Next, it is easy to check that

$$\max_{I \in X} |f_m(I)| - \max_{I \in X} |f(I)| \leq \max_{I \in X} [|f_m(I)| - |f(I)|].$$

Consequently from the property of the  $\overline{\lim}$ , the hypothesis of  $f_m \rightarrow f$  and (4), we obtain that

$$\overline{\lim}_{m \rightarrow \infty} [\max_{I \in X} |f_m(I)| - \max_{I \in X} |f(I)|] \leq \lim_{m \rightarrow \infty} \max_{I \in X} [|f_m(I)| - |f(I)|] = 0. \quad (5)$$

Similarly from

$$\max_{I \in X} |f_m(I)| - \max_{I \in X} |f(I)| \geq \min_{I \in X} [|f_m(I)| - |f(I)|],$$

it follows that

$$\underline{\lim}_{m \rightarrow \infty} [\max_{I \in X} |f_m(I)| - \max_{I \in X} |f(I)|] \geq \lim_{m \rightarrow \infty} \min_{I \in X} [|f_m(I)| - |f(I)|] = 0. \quad (6)$$

Combining (5) and (6) gives the result.

### 3. EXISTENCE

THEOREM 1. Let  $f \in C[a, b]$ . There exists a  $u \in G = \text{span}\{g_1, \dots, g_n\}$  for which

$$\inf_{v \in G} \|f - v\|^* = \|f - u\|^*.$$

*Proof.* Set  $\inf_{v \in G} \|f - v\|^* = C$ . We may assume that  $C > 0$ . For  $m = 1, 2, \dots$ , let  $u_m = \sum_{k=1}^n a_k^{(m)} g_k \neq 0$  satisfy  $\lim_{m \rightarrow \infty} \|f - u_m\|^* = C$  and let  $\mu_m = \max\{|a_k^{(m)}|; 1 \leq k \leq n\} > 0$ . We first show that  $\mu_m$  is a bounded sequence. If this is not the case, then there exists a subsequence, again denoted by  $\mu_m$ , which tends to  $\infty$ . By choosing a suitable subsequence, denoted by  $\{\mu_{m_r}\}$ ,

we may assume that, for  $i = 1, 2, \dots$ ,  $\mu_{m_i} = |a_{k_0}^{(m_i)}|$ , with a fixed  $k_0$ , and that, for  $k = 1, \dots, n$ ,  $a_k^{(m_i)}/\mu_{m_i}$  converges, say, to  $a_k$ ,  $|a_k| \leq 1$ , and  $|a_{k_0}| = 1$ . Set  $v = \sum_{k=1}^n a_k g_k$  and  $v_m = \mu_{m_i}^{-1}(f - u_m)$ ,  $m = 1, 2, \dots$ . Then  $v_{m_i}$  tends uniformly to  $-v$  on  $[a, b]$ . Since  $v \neq 0$ ,  $\|v\|^* > 0$ . By (3), we have  $\lim_{i \rightarrow \infty} \|v_{m_i}\|^* = \|v\|^* > 0$ . However,

$$\lim_{i \rightarrow \infty} \|v_{m_i}\|^* = \lim_{i \rightarrow \infty} \|\mu_{m_i}^{-1}(f - u_{m_i})\|^* = \lim_{i \rightarrow \infty} \mu_{m_i}^{-p} \|f - u_{m_i}\|^* = 0.$$

This contradiction proves that  $\mu_m$  is bounded.

Hence there are integers  $1 \leq m_1 < m_2 < \dots$  and reals  $a_1, a_2, \dots, a_n$  for which  $\lim_{i \rightarrow \infty} a_k^{(m_i)} = a_k$ ,  $k = 1, \dots, n$ . Thus  $\lim_{i \rightarrow \infty} u_{m_i} = u = \sum_{k=1}^n a_k g_k$  uniformly on  $[a, b]$ . By (3)

$$\|f - u\|^* = \lim_{i \rightarrow \infty} \|f - u_{m_i}\|^* = C.$$

The definition of  $C$  implies that

$$\inf_{v \in G} \|f - v\|^* = \|f - u\|^*.$$

#### 4. CHARACTERIZATION

DEFINITION 1. Let  $f \neq 0$ . An  $I \in X_f$  is said to be a definite interval of  $f$  if there is no  $J \subset I$  satisfying  $f(J) = -f(I)$ . The set of all definite intervals of  $f$  is denoted by  $X_f^*$ .

An  $I \in X_f^*$  is said to be a maximal (resp. minimal) definite interval of  $f$  if there is no  $J \supset I$  (resp.  $J \subset I$ ) satisfying  $J \in X_f^*$  and  $J \neq I$ . The set of all maximal (resp. minimal) definite intervals of  $f$  is denoted by  $X_f^M$  (resp.  $X_f^m$ ).

DEFINITION 2.  $\{I_1, \dots, I_m\} \subset X \setminus \{0\}$  is said to be weakly increasing if

- (a)  $I_i < I_{i+1}$ ,  $I_i^+ < I_{i+1}^+$ ,  $i = 1, \dots, m-1$ .
- (b)  $I_i^+ < I_{i+2}$ ,  $i = 1, \dots, m-2$ .

If  $I$  and  $J$  are nonempty subintervals of  $[a, b]$ ,  $I < J := x < y$  for all  $x \in I$  and  $y \in J$ .

$\{I_1, \dots, I_m\} \subset X \setminus \{0\}$  is said to be increasing if  $I_1 < \dots < I_m$ .

A system of extended intervals  $I_1, \dots, I_m$ , i.e.,  $I_i \in X$  or  $I_i = \{x\}$ ,  $x \in [a, b]$ , is said to be increasing if  $I_1 < \dots < I_m$ .

LEMMA 5. (a)  $X_f^*$ ,  $X_f^M$ , and  $X_f^m$  must exist.

(b)  $X_f^M$  is finite. Meanwhile  $X_f^m = \{I_i\}_1^N$  with  $I_1 \leq \dots \leq I_N$  is weakly increasing and satisfies  $f(I_{i+1}) = -f(I_i)$ ,  $i = 1, \dots, N-1$ .

(c)  $X_f^m$  is finite. Meanwhile  $X_f^m = \{J_i\}_{i=1}^N$  with  $J_1 < \dots < J_N$  is increasing and satisfies  $f(J_{i+1}) = -f(J_i)$ ,  $i = 1, \dots, N - 1$ .

(d)  $\text{Card } X_f^M = \text{Card } X_f^m$ , denoted by  $N_f$ . Furthermore if  $X_f^M = \{I_1, \dots, I_{N_f}\}$  and  $X_f^m = \{J_1, \dots, J_{N_f}\}$  are weakly increasing, then  $J_i \subset I_i$ ,  $f(I_i \setminus J_i) = 0$ ,  $i = 1, \dots, N_f$ , and  $J_i = (I_{i-1}, I_{i+1})$ ,  $i = 2, \dots, N_f - 1$ .

The proof is similar to that of [2, Lemmas 4.7 and Theorems 2.4].

LEMMA 6. Let  $r, v \in C[a, b]$  and  $I \in \mathcal{X}$ . Then

$$\lim_{\lambda \rightarrow 0} \frac{(r + \lambda v)(I) - r(I)}{\lambda} = p \int_I v |r|^{p-1}.$$

*Proof.* By definition,

$$\lim_{\lambda \rightarrow 0} \frac{(r + \lambda v)(I) - r(I)}{\lambda} = \lim_{\lambda \rightarrow 0} \int_I \frac{(r + \lambda v) |r + \lambda v|^{p-1} - r |r|^{p-1}}{\lambda}. \tag{7}$$

Set  $\Phi(\lambda) = (r + \lambda v) |r + \lambda v|^{p-1}$ . Clearly  $\Phi(\lambda)$  is a continuous function of  $\lambda$  and

$$\Phi'(\lambda) = \begin{cases} \frac{d(r + \lambda v)^p}{d\lambda} = pv(r + \lambda v)^{p-1} & r + \lambda v > 0, \\ 0, & r + \lambda v = 0, \\ -\frac{d(-r - \lambda v)^p}{d\lambda} = pv(-r - \lambda v)^{p-1} & r + \lambda v < 0. \end{cases}$$

$$= pv |r + \lambda v|^{p-1}.$$

Hence, there exists  $\xi$ ,  $|\xi| < |\lambda|$ , satisfying

$$\left| \frac{\Phi(\lambda) - \Phi(0)}{\lambda} \right| = |\Phi'(\xi)| = pv |r + \xi v|^{p-1} \leq p |v| [|r| + |v|]^{p-1}$$

for  $|\lambda| \leq 1$ . This implies that  $[\Phi(\lambda) - \Phi(0)]/\lambda$  is dominated by  $p |v| [|r| + |v|]^{p-1}$ . Thus according to the Lebesgue Dominated Convergence Theorem, we obtain that

$$\begin{aligned} & \lim_{\lambda \rightarrow 0} \int_I \frac{(r + \lambda v) |r + \lambda v|^{p-1} - r |r|^{p-1}}{\lambda} \\ &= \int_I \lim_{\lambda \rightarrow 0} \frac{(r + \lambda v) |r + \lambda v|^{p-1} - r |r|^{p-1}}{\lambda} \\ &= \int_I \Phi'(0) = \int_I pv |r|^{p-1} \end{aligned}$$

This combined with (7) completes the proof.

**THEOREM 2.** *Let  $G = \text{span}\{g_1, \dots, g_n\}$  be an  $n$ -dimensional subspace of  $C[a, b]$ ,  $f \in C[a, b] \setminus G$ ,  $u \in G$ ,  $r = f - u$ ,  $S(I) := S_r(I)$ . Then a necessary condition for  $u$  to be a best approximation to  $f$  from  $G$  is that there do not exist  $v \in G$  such that*

$$S(I) \int_I v |r|^{p-1} > 0, \quad \forall I \in X_r. \tag{8}$$

*Proof.* Suppose to the contrary that there is a  $v \in G$  satisfying (8). At first for each  $I \in X_r$ , it follows from Lemma 6 that

$$\lim_{\lambda \rightarrow 0} \frac{S(I)(r + \lambda v)(I) - S(I)r(I)}{\lambda} = pS(I) \int_I v |r|^{p-1} > 0.$$

Therefore  $[S(I)(r + \lambda v)(I) - S(I)r(I)]/\lambda$  is a continuous function of  $\lambda$  and  $I$  and hence there exist sufficiently small positive numbers  $\lambda_I$  and  $\varepsilon_I$  such that

$$[S(I)(r + \lambda v)(I) - S(I)r(I)]/\lambda > 0$$

and

$$S_{r-\lambda v}(J) = S_{r-\lambda v}(I) = S(I)$$

for all  $0 < \lambda < \lambda_I$  and  $J \in A(I, \varepsilon_I) = \{J \in X: |J^- - I^-| + |J^+ - I^+| \leq \varepsilon_I\}$ . Thus we have

$$|(r - \lambda v)(J)| < S(I)r(J) \leq S(I)r(I) = \|r\|^*, \quad J \in A(I, \varepsilon_I), 0 < \lambda \leq \lambda_I. \tag{9}$$

On the other hand, for each  $I \notin X_r$ , clearly  $|r(I)| < \|r\|^*$ . By the continuity of  $(r - \lambda v)(I)$ , there also exist sufficiently small positive numbers  $\lambda_I$  and  $\varepsilon_I$ , for which (9) does hold.

Secondly, it is clear that the compact set  $X$  is covered by an open covering  $\bigcup_{I \in X} A(I, \varepsilon_I)$ . Hence we can collect a finite number of elements from the covering, denoted by  $\{A(I_j, \varepsilon_{I_j})\}_1^k$ , for which

$$\bigcup_{i=1}^k A(I_i, \varepsilon_{I_i}) \supset X.$$

In other words, for each  $I \in X$ , there exists a  $j$ ,  $1 \leq j \leq k$ , such that  $I \in A(I_j, \varepsilon_{I_j})$ . Set  $\tilde{\lambda} = \min\{\lambda_{I_1}, \dots, \lambda_{I_k}\}$ . Clearly  $0 < \tilde{\lambda} \leq \lambda_{I_j}$ . From (9) we see immediately that, for all  $0 < \lambda \leq \tilde{\lambda}$ ,  $|(r - \lambda v)(I)| < \|r\|^*$ . This implies that, for all  $0 < \lambda \leq \tilde{\lambda}$ , we have

$$\|r - \lambda v\|^* < \|r\|^*.$$

This contradicts the hypothesis that  $u$  is a best approximation to  $f$ .

In the following, we shall be concerned with particular  $n$ -dimensional subspaces of  $C[a, b]$ , called  $QT$ -subspaces, considered by Y.-G. Shi [2], for which we can obtain a complete characterization of best approximation.

DEFINITION 3. A system of functions  $\{g_1, \dots, g_n\} \subset C[a, b]$  is said to be a quasi-Chebyshev system on  $[a, b]$  (or a  $QT$ -system) and an  $n$ -dimensional subspace  $G = \text{span}\{g_1, \dots, g_n\}$  a  $QT$ -subspace if

$$D(I_1, \dots, I_n) := \det \left[ \int_{I_i} g_j dx \right]_{i,j=1}^n \neq 0,$$

whenever  $\{I_i\}_1^n \subset X$  is increasing.

The following equivalent properties of a  $QT$ -system are from [2, Theorem 6].

THEOREM 3. Let  $G = \text{span}\{g_1, \dots, g_n\} \subset C[a, b]$ . Then the following statements are equivalent:

- (a)  $\{g_1, \dots, g_n\}$  is a  $QT$ -system.
- (b) For any weakly increasing intervals  $I_1, \dots, I_n$ ,  $D(I_1, \dots, I_n) \neq 0$ .
- (c)  $\{g_1, \dots, g_n\}$  is a nondegeneracy weak Chebyshev system on  $[a, b]$  (see [3]).

Before describing our result, let us note

LEMMA 7. Let  $r, v \in C[a, b]$ ,  $\{I_i\}_1^m \subset X$  be weakly increasing and  $e = 1$  or  $-1$ , fixed. Suppose

$$(-1)^i e \int_{I_i} v |r|^{\rho-1} \geq 0, \quad i = 1, \dots, m.$$

Then

- (a) There exist  $m$  intervals  $J_1, \dots, J_m$ ,  $J_1 < \dots < J_m$ , such that

$$(-1)^i e \int_{J_i} v |r|^{\rho-1} \geq 0, \quad i = 1, \dots, m.$$

Furthermore, if  $v(x) \neq 0$  on any nontrivial interval  $r(x) \neq 0$  on each  $I_i$ ,  $\{J_i\}_1^m$  may be chosen so that

$$(-1)^i e \int_{J_i} v |r|^{\rho-1} > 0, \quad i = 1, \dots, m.$$

(b) If  $m > 1$ , there exist  $m - 1$  intervals  $K_1, \dots, K_{m-1}$ ,  $K_1 < \dots < K_{m-1}$ , such that

$$\int_{K_i} v |r|^{p-1} = 0, \quad i = 1, \dots, m - 1.$$

In addition, if  $v(x) \neq 0$  on any nontrivial interval and  $r(x) \neq 0$  on each  $I_i$ , then  $\{K_i\}_1^{m-1}$ ,  $K_1 < \dots < K_{m-1}$  may be chosen so that

$$\int_{K_i} v |r|^{p-1} = 0, \quad i = 1, \dots, m - 1,$$

and  $r(x) \neq 0$  on each  $K_i$ .

The proof is similar to that of [2, Lemma 8].

LEMMA 8. Let  $G = \text{span}\{g_1, \dots, g_n\}$  be an  $n$ -dimensional  $QT$ -subspace of  $C[a, b]$ ,  $\{I_i\}_1^n \subset X$  be weakly increasing. If  $v \in G$ ,  $r \in C[a, b]$  are such that  $r(x) \neq 0$  on each  $I_i$ , and  $\int_{I_i} v |r|^{p-1} = 0$ ,  $i = 1, \dots, n$ , then  $v = 0$ .

*Proof.* Suppose to the contrary that  $v \neq 0$  and the conditions of the lemma hold. Take  $x$ ,  $\max\{I_n^-, I_{n-1}^+\} < x < I_n^+$ , and denote  $J_n = (I_n^-, x)$ ,  $J_{n+1} = (x, I_n^+)$ , and  $J_i = I_i$ , for  $i = 1, \dots, n - 1$ . We see that  $J_1, \dots, J_{n+1}$  are also weakly increasing,  $r(x) \neq 0$  on each  $J_i$ , and  $(-1)^i e \int_{J_i} v |r|^{p-1} \geq 0$ ,  $i = 1, \dots, n + 1$ , where  $e = 1$  or  $-1$ , fixed. By Theorem 3,  $\{g_1, \dots, g_n\}$  is a nondegeneracy  $WT$ -system, hence  $v$  does not identically vanish on any nontrivial interval. By Lemma 7, there exist  $\{K_i\}_1^n \subset X$ ,  $K_1 < \dots < K_n$ , such that  $r(x) \neq 0$  on each  $K_i$  and  $\int_{K_i} v |r|^{p-1} = 0$ , for  $i = 1, \dots, n$ . This implies that  $v$  has at least one sign change on each  $K_i$ . Thus  $v$  has totally at least  $n$  sign changes. This is impossible because of  $G$  being a  $WT$ -subspace, which ends the proof.

COROLLARY 1. Let  $G = \text{span}\{g_1, \dots, g_n\}$  be an  $n$ -dimensional  $QT$ -subspace of  $C[a, b]$ . Let  $\{I_i\}_1^{n+1} \subset X$  be weakly increasing and  $e = 1$  or  $-1$ , fixed. Let  $r \in C[a, b]$  and  $r(x) \neq 0$  on each  $I_i$ ,  $i = 1, \dots, n + 1$ . If  $v \in G$  satisfies

$$(-1)^i e \int_{I_i} v |r|^{p-1} \geq 0, \quad i = 1, \dots, n + 1,$$

then  $v = 0$ .

COROLLARY 2. Let  $G = \text{span}\{g_1, \dots, g_n\}$  be an  $n$ -dimensional  $QT$ -subspace of  $C[a, b]$ . Let  $\{I_i\}_1^n \subset X$  be increasing and  $r \in C[a, b] \setminus \{0\}$ . Then there exists a nonzero polynomial  $v \in G$  such that

$$(a) \quad \int_{I_i} v |r|^{p-1} = 0, \quad i = 1, \dots, n - 1.$$



(b)  $v$  changes sign one time on each  $I_i$ ,  $i=1, \dots, n$  and has no sign change in each  $(I_i^+, I_{i+1})$ ,  $i=0, 1, \dots, n-1$ , where  $I_0^+ = a$ ,  $I_n = b$ .

*Proof.* Suppose  $r$  do not identically vanish on  $m$  intervals of  $\{I_i\}_{i=1}^{n-1}$ ,  $1 \leq m \leq n-1$ , denoted by  $\{J_i\}_{i=1}^m$ , and the rest by  $\{J_i\}_{i=m+1}^{n-1}$ . Note the following linear equations

$$\begin{aligned} \sum_{j=1}^n c_j \int_{J_i} g_j |r|^{p-1} &= 0, & i=1, \dots, m, \\ \sum_{j=1}^n c_j \int_{J_i} g_j &= 0, & i=m+1, \dots, n-1. \end{aligned} \tag{10}$$

Since  $\{g_1, \dots, g_n\}$  is a  $QT$ -system, it follows by using the theory of linear equations that (10) has a nonzero solution  $c_j$ ,  $j=1, \dots, n$ . Set  $v = \sum_{j=1}^n c_j g_j$ . It is easy to check that

$$\begin{aligned} \int_{J_i} v |r|^{p-1} &= 0, & i=1, \dots, m, \\ \int_{J_i} v &= 0, & i=m+1, \dots, n-1. \end{aligned} \tag{11}$$

Consequently, according to the above notation and the fact  $G$  is a nondegeneracy  $WT$ -subspace, we conclude from (11) that  $v$  satisfies (a) and (b).

**LEMMA 9.** Let  $G = \text{span}\{g_1, \dots, g_n\}$  be an  $n$ -dimensional  $QT$ -subspace of  $C[a, b]$ ,  $r \in C[a, b]$ . Let a system of extended intervals  $\{I_i\}_{i=1}^m = \{I'_j\} \cup \{x_k\}$  be increasing where  $\{I'_j\} \subset X$  and  $\{x_k\} \subset (a, b)$ . Suppose  $m < n$ . Then there exists a nonzero polynomial  $v \in G$  such that

- (a)  $\int_{I_i} v |r|^{p-1} = 0$ ,  $i=1, \dots, m$ .  
 (b)  $v$  changes sign on each  $I_i$ ,  $i=1, \dots, m$ . (If  $I_i = x_k$ , this means that  $v$  changes sign at  $x_k$ .)

*Proof.* Put for  $t > 0$  sufficiently small

$$J_i = \begin{cases} (b - (n-i)t, b - (n-i-1)t), & i = m+1, \dots, n-1 \text{ if } m < n-1 \\ (x_i - t, x_i + t) & \text{if } I_i \in \{x_k\} \\ I_i \setminus \{(\cup [b - (n-i)t, b - (n-i-1)t]) \\ \quad \cup (\cup [x_i - t, x_i + t])\} & \text{if } I_i \in \{I'_j\}. \end{cases}$$

We see that  $\{J_i\}$  is also increasing if  $t > 0$  is sufficiently small. By Corollary 2, there exists a nonzero polynomial  $v_t \in G$  such that

$\int_{J_i} v_i |r|^{p-1} = 0, i = 1, \dots, n-1, v_i$  changes sign one time on each  $J_i, i = 1, \dots, n-1,$  and has no sign change in each interval  $(J_i^+, J_{i+1}^-), i = 0, 1, \dots, n-1,$  where  $J_0^+ = a, J_n^- = b.$  The polynomial  $v_i$  can be assumed normalized in the sense that  $\|v_i\|^* = 1.$  Letting  $t \rightarrow 0,$  we select a limit polynomial  $v \in G$  satisfying  $\int_{J_i} v |r|^{p-1} = 0, i = 1, \dots, m,$  and for which  $v$  has no sign change in each interval  $(I_i^+, I_{i+1}^-), i = 0, 1, \dots, m,$  where  $I_0^+ = a, I_m^- = b.$  It is not difficult to check that  $v$  changes sign on each  $I_i, i = 1, \dots, m,$  and has exactly  $m$  sign changes. This completes the proof.

We now state our main result.

**THEOREM 4.** *Let  $G = \text{span}\{g_1, \dots, g_n\}$  be an  $n$ -dimensional QT-subspace of  $C[a, b], f \in C[a, b] \setminus G, u \in G, r = f - u$  and  $S(I) := S_r(I).$  Then the following statements are equivalent.*

- (a)  $u$  is a best approximation to  $f$  from  $G.$
- (b) There does not exist a  $v \in G$  such that

$$S(I) \int_I v |r|^{p-1} > 0, \quad \forall I \in X_r.$$

(c) The origin of  $n$  space lies in the convex hull of the set  $\{S(I)\hat{I} : I \in X_r\},$  where  $\hat{I} = (\int_I g_1 |r|^{p-1}, \dots, \int_I g_n |r|^{p-1}).$

- (d)  $\max_{I \in X_r} S(I) \int_I v |r|^{p-1} \geq 0, \forall v \in G.$
- (e)  $\max_{I \subset X_r} S(I) \int_I v |r|^{p-1} > 0, \forall v \in G \setminus \{0\}.$
- (f)  $N_r \geq n + 1.$

*Proof.* Theorem 2 has shown that (a)  $\Rightarrow$  (b), and (b)  $\Leftrightarrow$  (c)  $\Leftrightarrow$  (d) is clear by means of well-known arguments. We now show the other equivalences. Denote  $N = N_r$  and  $X_r^m = \{I_1, \dots, I_N\}$  with  $I_1 < \dots < I_N.$  Clearly,  $r(x) \neq 0$  on each  $I_i, i = 1, \dots, N.$  Assume without loss of generality that  $S(I_1) > 0.$

(b)  $\Rightarrow$  (f). Suppose on the contrary that  $N \leq n.$  Put

$$K_i = \begin{cases} (I_i^-, I_{i+1}^+) & \text{if } i = \text{odd} \\ (I_i^+, I_{i+1}^-) & \text{if } i = \text{even and } I_i^+ < I_{i+1}^- \\ I_i^+ & \text{if } i = \text{even and } I_i^+ = I_{i+1}^- \end{cases} \quad (i = 1, \dots, N-1).$$

Obviously the system of extended intervals  $\{K_i\}_1^{N-1}$  is increasing. By Lemma 9, there is a nonzero polynomial  $v \in G$  such that  $\int_{K_i} v |r|^{p-1} = 0, i = 1, \dots, N-1, v$  changes sign on each  $K_i, i = 1, \dots, N-1,$  and  $v$  has exactly  $N-1$  sign changes on  $[a, b].$  We assume that  $\int_{I_1} v |r|^{p-1} > 0$  (taking  $-v$  instead of  $v$  if necessary). Denote  $K_0 = (a, K_1^-), K_N = (K_{N-1}^+, b).$

ASSERTION.

$$(-1)^{i+1} \int_{K_i^-}^{K_i^+} v |r|^{p-1} \geq 0, \quad x \in \bar{K}_i, i > 0. \quad (12)$$

$$(-1)^{i+1} \int_x^{K_i^+} v |r|^{p-1} \leq 0, \quad x \in \bar{K}_i, i < N. \quad (13)$$

where  $\bar{K}_i = [K_i^-, K_i^+]$ .

*Proof.* If  $K_i = \{x_k\}$ , clearly, the equality in (12) and (13) holds. In the following we assume  $K_i$  is a nontrivial interval.

*Case (i).*  $0 < i < N$ . In this case it follows from  $\int_{K_i} v |r|^{p-1} = 0$  that  $\int_{K_i^-} v |r|^{p-1} = -\int_x^{K_i^+} v |r|^{p-1}$ . Since  $\int_{I_1} v |r|^{p-1} > 0$  and  $v$  has exactly one sign change on  $K_i$ , we see immediately that

$$\begin{aligned} (-1)^{i+1} \int_{K_i} v |r|^{p-1} &\geq 0, \\ (-1)^{i+1} \int_x^{K_i^+} v |r|^{p-1} &\leq 0, \end{aligned} \quad x \in \bar{K}_i. \quad (14)$$

*Case (ii).*  $i = 0$ . Since  $v$  changes sign once in  $(K_0^-, K_1^+)$ , and that only in  $(K_1^-, K_1^+)$ , it follows from the assumption of  $\int_{I_1} v |r|^{p-1} > 0$  that  $\int_x^{K_0^+} v |r|^{p-1} \geq 0$ , where  $x \in \bar{K}_0$ .

*Case (iii).*  $i = N$ . Applying the second inequality of (14) to the case  $i = N - 1$ , we get that

$$(-1)^N \int_x^{K_N^+} v |r|^{p-1} \leq 0, \quad x \in \bar{K}_{N-1}. \quad (15)$$

Next note that  $v$  changes sign once in  $(K_{N-1}^-, K_N^+)$ , and that only in  $(K_{N-1}^-, K_{N-1}^+)$ . Hence from (15) it is easy to infer that

$$(-1)^{N+1} \int_{K_N^-}^x v |r|^{p-1} \geq 0, \quad x \in \bar{K}_N.$$

Now let  $I \in X_j$  be arbitrary. Then  $I$  must contain an odd number of  $I_j$ 's, say  $I \supset (I_j \cup \cdots \cup I_{j+2k})$ , where  $j \geq 1$ ,  $j+2k \leq N$ ,  $k \geq 0$ . Thus  $I \supset (K_j \cup \cdots \cup K_{j+2k-1})$ . Letting  $L = (I^-, K_j^+)$  and  $R = (K_{j+2k}, I^+)$ , we have that

$$\begin{aligned} \int_I v |r|^{p-1} &= \int_L v |r|^{p-1} + \sum_{i=j}^{j+2k-1} \int_{K_i} v |r|^{p-1} + \int_R v |r|^{p-1} \\ &= \int_L v |r|^{p-1} + \int_R v |r|^{p-1} \end{aligned} \quad (16)$$

and

$$\begin{aligned} (-1)^j \int_L v |r|^{p-1} &\leq 0 \\ (-1)^{j+2k+1} \int_R v |r|^{p-1} &\geq 0 \end{aligned} \tag{17}$$

because of (12) and (13).

On the other hand, by the definition of  $L$  and  $R$ , it follows that

$$L = (I_j^-, K_{j+1}^+) \supset I_j \quad \text{if } j = \text{even}, \tag{18}$$

$$R = (K_{j+2k}, I_j^+) \supset I_{j+2k} \quad \text{if } j = \text{odd}. \tag{19}$$

Clearly, either (18) or (19) must occur. Then along with the condition that  $r(x) \not\equiv 0$  on each  $I_i, i = 1, \dots, N$ , we assert that  $r$  does not identically vanish on at least one of  $L$  and  $R$ . Thus at least one of the strict inequalities in (17) must hold. This combined with (16) and (17) gives that

$$(-1)^{j+1} \int_{I_j} v |r|^{p-1} > 0.$$

Next by the assumption of  $S(I_1) > 0$ , we get that  $S(I) = S(I_j) = (-1)^{j+1} S(I_1) = (-1)^{j+1}$  and whence  $S(I) \int_{I_j} v |r|^{p-1} > 0$ , contradicting (b).

(f)  $\Rightarrow$  (e). If not, let  $v \in G \setminus \{0\}$  satisfy  $\max_{I_j \in X_j} S(I) \int_{I_j} v |r|^{p-1} \leq 0$ . Then  $\max_{I_j \in X_j^p} S(I) \int_{I_j} v |r|^{p-1} \leq 0$  or  $S(I_i) \int_{I_i} v |r|^{p-1} \leq 0, i = 1, \dots, N$ . Since  $S(I_i) = (-1)^{i+1} S(I_1), (-1)^i S(I_1) \int_{I_i} v |r|^{p-1} \geq 0, i = 1, \dots, N$ . Then because of  $r(x) \not\equiv 0$  on each  $I_i, i = 1, \dots, N$ , it follows from Corollary 1 that  $v = 0$ , a contradiction.

(e)  $\Rightarrow$  (d). It is trivial to verify.

(f)  $\Rightarrow$  (a). Suppose on the contrary that there exists a  $v \in G \setminus \{0\}$  such that  $\|r - v\|_* \leq \|r\|_*$ . Whence for  $\{I_i\}_1^N$ ,

$$S(I_j) \int_{I_j} (r - v) |r - v|^{p-1} < S(I_j) \int_{I_j} r |r|^{p-1}, \quad j = 1, \dots, N.$$

This implies that for each  $j, 1 \leq j \leq N$ , there exists a point  $x_j, x_j \in I_j$ , such that

$$S(I_j)(r - v)(x_j) < S(I_j) r(x_j), \quad j = 1, \dots, N. \tag{20}$$

From (20) it is easy to conclude that  $v \neq 0$  has at least  $n$  sign changes, which contradicts the fact that  $G$  is also a  $WT$ -subspace. This completes the proof.

We now provide an analogue of a fundamental result of de La Vallée Poussin.

**THEOREM 5.** *Let  $G = \text{span}\{g_1, \dots, g_n\}$  be an  $n$ -dimensional  $QT$ -subspace of  $C[a, b]$ . Let  $v \in G$  satisfy*

$$(-1)^j e \int_{I_j} (f-v) |f-v|^{p-1} \geq 0, \quad j = 1, \dots, n+1,$$

where  $\{I_j\}_{j=1}^{n+1} \subset X$ ,  $I_1 < \dots < I_{n+1}$  and  $e = 1$  or  $-1$ , fixed. Then

$$\inf_{u \in G} \|f-u\|^* \geq \min_{1 \leq i \leq n+1} \left| \int_{I_i} (f-v) |f-v|^{p-1} \right|.$$

The equality can occur if and only if  $v$  is a best approximation to  $f$  and  $\{I_j\} \subset X_{f-v}$ .

*Proof.* Letting  $u \in G$  be a best approximation to  $f$ ,  $\|f-u\|^* \leq \min_{1 \leq i \leq n+1} \left| \int_{I_i} (f-v) |f-v|^{p-1} \right|$  implies that

$$\left| \int_{I_j} (f-u) |f-u|^{p-1} \right| \leq \min_{1 \leq i \leq n+1} \left| \int_{I_i} (f-v) |f-v|^{p-1} \right|, \quad j = 1, \dots, n+1.$$

If for every,  $i$ ,  $1 \leq i \leq n+1$ , there exists a point  $x_i$ ,  $x_i \in I_i$ , for which

$$(-1)^j e(f-u)(x_j) < (-1)^j e(f-v)(x_j), \quad j = 1, \dots, n+1,$$

then it is not difficult to see that this is impossible by using the fact that  $G$  is a  $WT$ -subspace. Therefore there must exist a  $j$ ,  $j \in \{1, \dots, n+1\}$ , such that

$$(-1)^j e(f-u)(x) = (-1)^j e(f-v)(x) \quad \text{for all } x \in I_j.$$

This combined with the fact again that  $G$  is a nondegeneracy  $WT$ -subspace yields that  $v = u$  and of course,  $\{I_j\} \subset X_{f-v}$ . Conversely, if  $v$  is a best approximation to  $f$  and  $\{I_j\} \subset X_{f-v}$ , then equality occurs.

### 5. UNIQUENESS

**THEOREM 6.** *Let  $u$  be a best approximation from  $G$  to  $f \in C[a, b]$ . If  $G$  is a  $QT$ -subspace of  $C[a, b]$ , then  $u$  is unique.*

*Proof.* If  $f \in G$ , then  $u = f$  is unique. Now suppose  $f \notin G$ . If possible, let  $v \in G$  be another best approximation. Then for  $X_{f-u}^m = \{I_i\}_{i=1}^{N_f-u}$ ,  $I_1 < \dots < I_{N_f-u}$ , we have

$$(-1)^j e \int_{I_j} (f-u) |f-u|^{p-1} > 0,$$

where  $e = -S_{f-u}(I_1)$  and

$$\|f-v\|^* = \|f-u\|^* = \min \left\{ \left| \int_{J_i} (f-u) |f-u|^{p-1} \right|; 1 \leq i \leq N_{f-u} \right\}.$$

As in the proof of Theorem 5 we assert  $u = v$ .

LEMMA 10. *Let  $G$  be an  $n$ -dimensional subspace of  $C[a, b]$ . Then there exists a positive number  $C$  such that*

$$\|v\|^* \geq C \|v\|_x^p, \quad \forall v \in G. \tag{21}$$

*Proof.* If  $v = 0$ , (21) is trivial. Otherwise, set

$$C = \inf_{v \in G \setminus \{0\}} \frac{\|v\|^*}{\|v\|_x^p},$$

we shall prove that  $C > 0$ . Suppose  $C = 0$ , then there exists a sequence  $v_k \in G \setminus \{0\}$  such that

$$\frac{\|v_k\|^*}{\|v_k\|_x^p} \rightarrow 0, \quad k \rightarrow \infty.$$

This means that for  $u_k = v_k / \|v_k\|_x$ , we have  $\|u_k\|_x = 1$  and  $\|u_k\|^* \rightarrow 0$ ,  $k \rightarrow \infty$ . Suppose without loss of generality that  $u_k \rightarrow v$ . Then  $\|v\|_x = 1$  and by (3)

$$\|v\|^* = \lim_{k \rightarrow \infty} \|u_k\|^* = 0,$$

a contradiction.

*Remark.* This conclusion still holds if we take  $G - f = \{v - f; v \in G\}$  instead of  $G$ .

With this conclusion we now present the strong uniqueness theorem.

THEOREM 7. *Let  $G = \text{span}\{g_1, \dots, g_n\}$  be a QT-subspace,  $u \in G$  is a best approximation to  $f$ . Then there exists a constant  $\gamma > 0$  depending only on  $f$  such that for any  $v \in G$*

$$\|f-v\|^* \geq \|f-u\|^* + \gamma \|u-v\|_x^q,$$

where  $1 \leq q \leq p$ .

*Proof.* If  $f \in G$ , it is trivial. Thus we assume  $f \notin G$ .

For any  $v \neq u$ , set

$$\gamma(v) = \frac{\|f - v\|^* - \|f - u\|^*}{\|u - v\|^q}.$$

We shall prove that  $\gamma(v)$  has a positive lower bound. If this is not the case, then there exists a sequence  $v_k \in G$ , with  $v_k \neq u$ ,  $k = 1, 2, \dots$ , such that

$$\gamma(v_k) = \frac{\|f - v_k\|^* - \|f - u\|^*}{\|u - v_k\|^q} \rightarrow 0, \quad k \rightarrow \infty.$$

We first prove that  $\|u - v_k\|^q$  is a uniformly bounded sequence. In fact, if  $\|u - v_k\|^q \rightarrow \infty$ ,  $k \rightarrow \infty$ , it follows from  $\|f - v_k\|^q \rightarrow \infty$  and  $\|f - v_k\|^* \geq C \|f - v_k\|^p \geq C \|f - v_k\|^q$  that

$$\liminf_{k \rightarrow \infty} \gamma(v_k) \geq \liminf_{k \rightarrow \infty} \frac{C \|f - v_k\|^q - \|f - u\|^*}{\|u - v_k\|^q} \geq C.$$

This contradiction proves that  $\|u - v_k\|^q$  is bounded. Hence there is a positive constant  $M$ , for which  $\|u - v_k\|^q \leq M$ ,  $k = 1, 2, \dots$ . Without loss of generality assume that  $v_k$  converges uniformly to  $v$ , then it remains to check that  $v$  satisfies  $\|u - v\|^q \leq M$ ,  $v \in G$ , and  $\gamma(v) = 0$ . Consequently applying Theorem 6 we obtain  $v = u$ .

Next set  $C = \inf_{w \in G, \|w\|_r = 1} \max_{I \in X_r, u} S_{f-u}(I) \int_I p w |f - u|^{p-1}$ . Clearly  $C > 0$  from (e) of Theorem 4. Set  $r = f - u$ ,  $S_r(I) = S(I)$ , and  $v_k = u - \alpha_k$ , where  $\alpha_k \in G$  and  $\alpha_k$  converges uniformly to 0 since  $v_k$  converges uniformly to  $v = u$ . Similar to the proof of Lemma 6, we can conclude that

$$\lim_{\alpha_k(x) \rightarrow 0} \frac{[(r + \alpha_k) |r + \alpha_k|^{p-1}](x) - [r |r|^{p-1}](x)}{\alpha_k(x)} = [p |r|^{p-1}](x)$$

for any  $x \in [a, b]$ .

Hence from the fact  $|\alpha_k / \|\alpha_k\|_r| \leq 1$  we have

$$\lim_{\alpha_k \rightarrow 0} \Psi(\alpha_k) = \lim_{\alpha_k \rightarrow 0} \int_I \frac{\alpha_k}{\|\alpha_k\|_r} \left[ \frac{(r + \alpha_k) |r + \alpha_k|^{p-1} - r |r|^{p-1}}{\alpha_k} - p |r|^{p-1} \right] = 0 \tag{22}$$

by using Lebesgue convergence Theorem.

Taking any  $I \in X_r$  for which

$$pS(I) \int_I \frac{\alpha_k}{\|\alpha_k\|_r} |r|^{p-1} \geq C.$$

We calculate

$$\begin{aligned} \gamma(v_k) &\geq \frac{S(I) \int_I (r + \alpha_k) |r + \alpha_k|^{p-1} - S(I) \int_I r |r|^{p-1}}{\|\alpha_k\|^q} \\ &= \|\alpha_k\|^{1-q} \left[ S(I) \bar{P}(\alpha_k) + pS(I) \int_I \frac{\alpha_k}{\|\alpha_k\|} |r|^{p-1} \right] \\ &\geq \|\alpha_k\|^{1-q} [S(I) \bar{P}(\alpha_k) + C]. \end{aligned}$$

By (22),

$$\gamma(v) = \lim_{k \rightarrow \infty} \gamma(v_k) = \begin{cases} C & \text{if } q = 1, \\ \infty & \text{if } 1 < q \leq p, \end{cases}$$

a contradiction. This complete the proof.

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